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| | | | |
|--------------------------------------|--|---|--|
| TO: Central Fax Delivery | | CONFIRMATION <input checked="" type="checkbox"/> | |
| FAX NUMBER: (703) 872-9306 | | COMPANY: U.S. PATENT & TRADEMARK OFFICE | |
| | | PHONE NUMBER: (866) 217-9197 | |

From: Craig A. Phillips

Date: April 25, 2005

Total Number of Pages Including Cover Sheet: 7

Message:

RE: Application No.: 10/680,923 - Filing Date: October 8, 2003
Applicant(s): Peter Bongartz et al.
Group Art Unit: 3679
Examiner: Gregory J. Binda
Title: Retainer Ring For Tripod Constant Velocity Joints
Attorney Docket: 46107-0097
Reply To Office Action Dated: April 19, 2005

Transmitted herewith are the following documents:

- 1) USPTO Transmittal Form (including Certificate of Facsimile Transmission) (1 page);
- 2) Fee Transmittal For FY 2005 (1 page); and
- 3) Response (4 pages).

APR 25 PM 4:19

10/680,923-0001

If you have not received the total number of pages, please call the facsimile department at (248) 646-4300. *Thank you.*

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| | |
|--|--|
| Client Name: Client/Matter Number: 46107-0097 Attorney Number: [1039] Secretary/Ext.: G. Poland/7522 | OFFICE CODE Matter Name: Attorney Initials: CAP No. of Pages: 7 Amount: |
|--|--|

PTO/SB/21 (08-03)

Approved for use through 07/31/2008. OMB 0851-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| | | |
|---|------------------------|------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/680,923 |
| | Filing Date | October 8, 2003 |
| | First Named Inventor | Peter Bongartz |
| | Art Unit | 3679 |
| | Examiner Name | Gregory J. Binda |
| Total Number of Pages in This Submission | Attorney Docket Number | 46107-0097 |

| ENCLOSURES (check all that apply) | | |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below) |
| Remarks | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | |
| Firm or Individual name | Craig A. Phillips Dickinson Wright PLLC | |
| Signature | <i>Craig A. Phillips</i> | |
| Date | 4-25-05 | |

| CERTIFICATE OF TRANSMISSION/MAILING | | |
|---|--------------------|--------------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the | | |
| Typed or printed name | Gail Poland | |
| Signature | <i>Gail Poland</i> | Date 4/25/05 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (12-04v2)

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| | | | |
|---|--|--------------------------|--------------------|
| Effective on 12/03/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4318). | | Complete if Known | |
| FEE TRANSMITTAL for FY 2005 | | Application Number | 10/680,923 |
| | | Filing Date | October 8, 2003 |
| | | First Named Inventor | Peter Bongartz |
| | | Examiner Name | Gregory J. B. Inda |
| | | Art Unit | 3679 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | | Attorney Docket No. | 46107-0097 |
| TOTAL AMOUNT OF PAYMENT $\times > \$$ | | \$0.00 | |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Deposit Account Number: 04-1061 Deposit Account Name: Dickinson Wright PLLC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or any underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
| | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

2. EXCESS CLAIM FEES

| Fee Description | Fee (\$) | Small Entity Fee (\$) |
|--|-----------------|------------------------------|
| Each claim over 20 (including Reissues) | 50 | 25 |
| Each independent claim over 3 (including Reissues) | 200 | 100 |
| Multiple dependent claims | 360 | 180 |
| Total Claims | Fee (\$) | Small Entity Fee (\$) |
| 16 - 20 or HP = 0 x \$50.00 = \$0.00 | | |
| HP = highest number of total claims paid for, if greater than 20. | | |
| Indep. Claims | Fee (\$) | Small Entity Fee (\$) |
| 3 - 3 or HP = 0 x \$200.00 = \$0.00 | | |
| HP = highest number of independent claims paid for, if greater than 3. | | |

3. APPLICATION SIZE FEE
 If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.18(s).

| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof | Fee (\$) | Fee Paid (\$) |
|-------------------|--------------|--|----------|---------------|
| 16 - 100 = 0 / 50 | | (round up to a whole) | \$250.00 | \$0.00 |

4. OTHER FEE(S)

Non-English specification. \$130 fee (no small entity discount)
 Other (e.g. late filing surcharge):

SUBMITTED BY

| | | | | | |
|-------------------|--------------------------|--------------------------------------|---------|-----------|--------------|
| Signature | <i>Craig A. Phillips</i> | Registration No. (Attorney/Agent) | 47,858 | Telephone | 248-433-7231 |
| Name (Print/Type) | Craig A. Phillips | Date | 4-25-05 | | |

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APR 25 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Confirmation No. 7597

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|------------------|--|---|----------|
| Application No.: | 10/680,923 |) | |
| Filing Date: | October 8, 2003 |) | |
| Applicant(s): | Peter Bongartz et al. |) | |
| Group Art Unit: | 3679 |) | RESPONSE |
| Examiner: | G. Binda |) | |
| Title: | Retainer Ring For Tripod Constant Velocity Joints |) | |
| Attorney Docket: | 46107-0097 |) | |

Commissioner For Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

This is in response to the Notice of Non-Compliant Amendment (37 CFR 1.121) dated April 19, 2005 for which the one-month shortened-statutory period for response is set to expire on May 19, 2005. Kindly amend the above-identified application as follows and consider the remarks set forth below:

Amendments to the Specification begin on page 2 of this paper.

Remarks being on page 4 of this paper.

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